

12/27/01  
JC966 U.S. PRO

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Page 1 of 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

PATENT  
APPLICATION

**RULE 53(f) NO DECLARATION**

Hon. Commissioner of Patents  
Washington, DC 20231

Atty. Dkt. P 0277029

M#

H7634US

Client Ref

Date: December 27, 2001

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title:

SINGING VOICE SYNTHESIZING APPARATUS, SINGING VOICE  
SYNTHESIZING METHOD, AND PROGRAM FOR REALIZING SINGING VOICE  
SYNTHESIZING METHOD

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 45 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 17 Numbered claim(s); and

7. ☒ Drawings: 21 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☒ A4 ☐ 11"

9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-401041	December 28, 2000	(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

11. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / filed on \_\_\_\_\_

12. ☐ This is a reissue of Patent No. \_\_\_\_\_

13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)

14. ☐ Amend the specification by inserting before the first line -- This is a ☐ Continuation-in-Part  
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:

14(a) ☐ National Appln. No. 1 filed .-. (M# )

14(b) ☐ International Appln. No. PCT/ filed \_\_\_\_\_ which  
designated the U.S., and that International Application ☐ was ☐ was not published  
under PCT Article 21(2) in English.--

15. ☐ Amend the specification by inserting before the first line: --This application  
claims the benefit of U.S. Provisional Application No. 60/ \_\_\_\_\_, filed \_\_\_\_ --

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed

17. ☐ Small Entity Status is claimed (pre-filing confirmation required)

17(a) ☐ Attached: \_\_\_\_\_ (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not  
essential to make claim)

18. ☐ Prior application is assigned to

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

19. ☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):  
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Hideki		KENMOCHI
	First	Middle Initial	Family Name
Residence	Shimizu-shi	Japan	Japan
	City	State/Foreign Country	Country of Citizenship
Mailing Address	c/o Yamaha Corporation, 10-1 Nakazawa-cho, Hamamatsu-shi, Shizuoka-ken, Japan		
(include Zip Code)			

(2) Inventor	Xavier		SERRA
	First	Middle Initial	Family Name
Residence	Barcelona	Spain	Spain
	City	State/Foreign Country	Country of Citizenship
Mailing Address	Biscaia 19, 2-2, 08440 Cardedua, Barcelona, Spain		
(include Zip Code)			

(3) Inventor	Jordi		BONADA
	First	Middle Initial	Family Name
Residence	Barcelona	Spain	Spain
	City	State/Foreign Country	Country of Citizenship
Mailing Address	Plaga Comercial, 10 3r-2a 08003, Barcelona, Spain		
(include Zip Code)			

(4) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, check box ☐  
and attach sheet with same information regarding additional inventors.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

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**NOTE:** File in duplicate with 2 post card receipts (PAT-103) & attachments

PATENT  
027 7029

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application  
KENMOCHI, et al.

Group No.: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: December 27, 2001

For: SINGING VOICE SYNTHESIZING APPARATUS,  
SINGING VOICE SYNTHESIZING METHOD, AND  
PROGRAM FOR REALIZING SINGING VOICE  
SYNTHESIZING METHOD

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 860 913 320 US

Date of Deposit: December 27, 2001

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

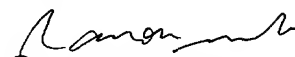
- ☒ Letter of transmittal
- ☒ Patent application (45 pages of specification; 17 claims; 1 pages of abstract)
- ☒ 21 sheets of formal drawings
- ☒ Return postcard
- ☒ Certified copies of (1) Japanese patent application from which priority is claimed
- ☒ An Information Disclosure Statement with 5 references and form PTO-1449

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231.

December 27, 2001

Date of Deposit



Ramon Navarrete